

Name of Account Holder	Social security number (SSN) or Employer identification number (EIN)
------------------------	--

Address of Account Holder (Number, Street, City or Town, State, ZIP code)

**Definition of Penalty Statutes**

1. **Foreign Financial Agency Transaction Violation—willful failure to meet recordkeeping requirements and/or report a foreign account on Department of the Treasury Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts:** 31 USC 5321(a)(5) and 31 CFR sections 103.24, 103.32 and 103.57(g)(2).
2. **Foreign Financial Agency Transaction Violation— failure to meet recordkeeping requirements and/or failure to report a foreign account on Department of the Treasury Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts:** 31 USC 5321(a)(5) and 31 CFR sections 103.24, 103.32 and 103.57(g)(2).
3. **Negligent Failure to Report:** 31 USC 5321(a)(6) and 31 CFR sections 103.24 and 103.57(h).
4. **Negligent Failure to Meet Recordkeeping Requirements:** 31 USC 5321(a)(6) and 31 CFR sections 103.32 and 103.57(h).
5. **Pattern of Negligent Activity:** 31 USC section 5321(a)(6)(B).

Total proposed penalty (from Page 2 of 2)	\$ _____
---	----------

<b>Signature Authorization</b>	I consent to the immediate assessment and collection of the penalty amount specified above plus any interest and penalty as provided by law.
--------------------------------	--

Your signature	Date signed
----------------	-------------

Representative's signature (valid only with Power of Attorney attached)	Date signed
---	-------------

Name of Entity (for corporations, partnerships, trusts, etc., when EIN specified above)

Signature of Authorized Officer	Title	Date signed
---------------------------------	-------	-------------

Signature of Authorized Officer	Title	Date signed
---------------------------------	-------	-------------

Name of Examiner	Employee ID number	Office	Date (mmddyyyy)
------------------	--------------------	--------	-----------------

Name of Supervisor	Employee ID number	Office	Date (mmddyyyy)
--------------------	--------------------	--------	-----------------

Foreign Account Penalty Information		Name of Account Holder	Account Holder ID (EIN or SSN)
<b>1</b> Calendar year _____	Foreign Bank, Institution, or Agent(s) _____	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es).) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	
Maximum value of account \$ _____	Foreign Account number(s) _____	Amount of penalty \$ _____	
<b>2</b> Calendar year _____	Foreign Bank, Institution, or Agent(s) _____	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es).) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	
Maximum value of account \$ _____	Foreign Account number(s) _____	Amount of penalty \$ _____	
<b>3</b> Calendar year _____	Foreign Bank, Institution, or Agent(s) _____	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es).) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	
Maximum value of account \$ _____	Foreign Account number(s) _____	Amount of penalty \$ _____	
<b>4</b> Calendar year _____	Foreign Bank, Institution, or Agent(s) _____	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es).) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	
Maximum value of account \$ _____	Foreign Account number(s) _____	Amount of penalty \$ _____	
<b>5</b> Calendar year _____	Foreign Bank, Institution, or Agent(s) _____	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es).) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	
Maximum value of account \$ _____	Foreign Account number(s) _____	Amount of penalty \$ _____	
<b>6</b> Calendar year _____	Foreign Bank, Institution, or Agent(s) _____	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es).) <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5	
Maximum value of account \$ _____	Foreign Account number(s) _____	Amount of penalty \$ _____	

**TOTAL proposed penalty (Enter here and on Page 1 of 2.)**



\$ \_\_\_\_\_